

<b>Audit Guide – Clause 2.1</b>	
<b>2.1 The RTO ensures it complies with these Standards at all times, including where services are being delivered on its behalf. This applies to all operations of an RTO within its scope of registration.</b>	
<b>What is this clause about:</b> This clause simply requires your RTO to be compliant with all of the other clauses within the Standards for Registered Training Organisations (RTOs) 2015. So, if you are found non-compliant on any other clause or standard then 2.1 will also be non-compliant. Happy days!	
<p><b>Evidence to prepare:</b></p> <ul style="list-style-type: none"> <li>– Training and Assessment Strategies – Clause 1.1</li> <li>– Pathway for individual learning plan – Clause 1.2</li> <li>– Availability of resources in support of services – Clause 1.3</li> <li>– Training package alignment – Clause 1.4</li> <li>– Industry engagement – Clause 1.5-1.6</li> <li>– Learner support services – Clause 1.7</li> <li>– Assessment arrangements – Clause 1.8</li> <li>– Assessment validation arrangements – Clause 1.9-1.11</li> <li>– Trainer assessor competency/currency – Clause 1.13-1.24</li> <li>– Transition arrangements – Clause 1.26-1.27</li> <li>– Quality improvement – Clause 2.2</li> <li>– Third-party agreements and monitoring – Clause 2.3-2.4</li> <li>– Issuing AQF certificates – Clause 3.1-3.4</li> <li>– Credit transfer arrangements – Clause 3.5</li> <li>– USI collection / verification / protection – Clause 3.6</li> <li>– Marketing arrangements – Clause 4</li> <li>– Pre-enrolment information arrangements – Clause 5</li> <li>– Handling of complaints and appeals – Clause 6</li> <li>– Governance and administration arrangements – Clause 7</li> <li>– Cooperation with ASQA and legislative compliance – Clause 8</li> </ul>	<p><b>You must be able to demonstrate that:</b></p> <ul style="list-style-type: none"> <li>– Your RTO is compliant with all Standards for Registered Training Organisations (RTOs) 2015.</li> </ul> <p><b>You should prepare for these types of questions:</b></p> <ul style="list-style-type: none"> <li>– Refer to all other clauses for questions in support of this clause.</li> </ul>

## **Newbery's Audit Guide - Standards for Registered Training Organisations (RTOs) 2015**

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